



SUBRECIPIENT COMMITMENT FORM

Name of Stanislaus State PI: _____

Prime Sponsor: _____

Title of Proposal: _____

Period of Performance: From: _____ To: _____

1. Subrecipient Eligibility

Dear (Potential) Subrecipient:

Any organization planning to enter into a collaborative subrecipient relationship with Stanislaus State must complete this form at the proposal stage. Please answer the following questions to determine if a formal subrecipient partnership can be established between your organization and Stanislaus State. This form will be considered valid for one year from the date of signature by your organization's Authorized Official.

2. Subrecipient Requirements and Responsibilities

Stanislaus State views a subrecipient organization as a true partner in carrying out a sponsored project. The requirements and responsibilities of a Stanislaus State subrecipient are different from that of a contractor. The following chart outlines the differences:

| Subrecipients | Contractors |
|--|--|
| (a) Subrecipient's PI (named in Section D below) will take a significant role in programmatic decision making and assist the PI achieving the project's goals and objectives. | (a) Provides routine goods and/or services to other customers or clients and/or |
| (b) Subrecipient will be subject to all of the compliance requirements from the prime award that are pertinent to the subrecipient, e.g., effort reporting on federal awards. | (b) Provides goods or services developed according to the specifications of the PI and/or |
| (c) Subrecipient will be expected to provide a complete copy of the subrecipient's most recent audit report, or the URL link to a complete copy, before a subaward can be established. | (c) Provides personnel services that are primarily advisory in nature and/or |
| | (d) Provides other ancillary services related to the sponsored project per the instructions of the PI. |

Yes No My organization is properly categorized as a subrecipient as described above and agrees to the project roles, compliance responsibilities, and audit requirements listed above. If "No," please contact the PI about procuring your organization's products and services as a contractor.

3. Subrecipient Information

Subrecipient Organization Legal Name: _____

Principal Investigator Name: _____

DUNS #: _____

Federal Employer Identification Number (EIN): _____

Registered in SAM? Yes No Expiration Date: _____

Organization's Address: Include ZIP Code +4:

Congressional District: _____

4. Proposal Documents.

The following documents are included in our proposal submission and covered by the certifications below.
(Check all that apply)

- Statement of Work (must describe the subrecipient's specific role within the project) **(require in all proposals)**
- Budget **(require in all proposals)**
- Budget Narrative/Justification **(require in all proposals)**
- Biosketches/CVs of key personnel (as required by agency)
- Other: _____

5. Certifications

a) Facilities and Administrative Rates included in this proposal have been calculated based on:

- Our federally negotiated F&A rates for this type of work, or a reduced F&A rate that we hereby agree to accept.
(If this box is checked, a copy of your F&A rate agreement or a URL link to the agreement must be provided.)
URL: _____
- Other rates (Please specify the basis on which the rate has been calculated in Section G *Comments* below.)
- Not applicable (No F&A request for subrecipient.)

b) Fringe-Benefit Rates included in this proposal have been calculated based on the following:

- Rates consistent with or lower than our federally negotiated rates.
(If this box is checked, a copy of your FB rate agreement or a URL link to the agreement must be provided.)
URL: _____
- Other rates (Please specify the basis on which the rate has been calculated in Section G *Comments* below.)

c) Subrecipient Business Status (Check one):

- Large Business
- Institution of Higher Education
- Foreign Owned
- Small Business
- Nonprofit Organization
- For Profit Organization
- Minority Serving Institution (e.g., HSI, HBCU, MI, etc.)

d) Conflict of Interest (Check one):

- Subrecipient organization/institution hereby certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F, "Responsibility of Applicants for Promoting Objectivity in Research." Subrecipient also certifies that, to the best of Institution's knowledge (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditure of any funds under any resulting agreement.

Subrecipient does not have an active and/or enforced conflict of interest policy.

e) **Debarment, Suspension, Proposed Debarment**

Is the PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in Federal assistance programs or activities? Yes No
If 'Yes', please explain.

The subrecipient certifies that they: (answer all questions below)

- Are Are Not presently debarred, suspended, proposed for debarment, or declared ineligible for award of Federal Contracts
- Are Are Not presently indicted for, or otherwise criminally or civilly charged by a governmental entity
- Have Have Not within three (3) years preceding this offer, been convicted of or had a civil judgement rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property.
- Have Have Not within three (3) years preceding this offer, had one or more contracts terminated for default by any Federal Agency

f) **Responsible Conduct of Research (RCR) [For NSF, PHS, & USDA-NIFA funded projects]:**

- The Organization certifies that it has an Institutional Plan to meet NSF's Educational Requirements for the Responsible Conduct of Research, as required under Section 7009 of the "America COMPETES ACT" PUBLIC LAW 110-69-August 9, 2007 and Public Health Service (PHS).
- Subrecipient organization hereby certifies that it will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by the project will be trained in the responsible and ethical conduct of research, as applicable.
- Subrecipient organization hereby certifies that it will ensure that all program directors, faculty, undergraduate students, graduate students, postdoctoral researchers, and any staff participating in the research project will be trained in the responsible and ethical conduct of research, as required per USDA-NIFA terms & conditions.


g) **Lobbying:**

- Yes No In the event funds allotted under this proposal are expected to exceed \$100,000 the Organization certifies that is in compliance with the requirements of Section 1352, Title 31, U.S. Code, that limits the use of appropriated funds to influence certain Federal contracting and financial transactions.

6. Authorized Representative Approval

The information, certifications, and representations above have been read, signed, and made by an authorized institutional representative of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policies in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. By their signatures below, Subrecipient certifies (1) that the information submitted within the application is true, complete and accurate to the best of their knowledge; (2) that any false, fictitious, or fraudulent statements or claims may subject the Institution and PI to criminal, civil, or administrative penalties; and (3) that the PI agrees to accept responsibility for the scientific conduct of the project and to provide the required progress and other administrative reports as required if an award is made as a result of the prime recipient's application. Any work begun and/or expense incurred prior to execution of a subaward agreement are at the Subrecipient's own risk.

Signature of Subrecipient's Authorized Institutional Official



Signature of Subrecipient's Principal Investigator

Date

5/1/2020

Date

Doug Roberts, Vice Chancellor, Business Services

Name and Title of Authorized Institutional Representative

Agreement Contacts

| University Contacts | Subrecipient Contacts |
|--|--|
| <p>Administrative Contact</p> <p>Name:</p> <p>Address: CSU Stanislaus One University Circle Turlock, CA 95382</p> <p>Telephone: (209) 667-3493</p> <p>Fax: (209) 664-7048</p> <p>Email:</p> | <p>Administrative Contact</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p> |
| <p>Principal Investigator</p> <p>Name:</p> <p>Address: CSU Stanislaus One University Circle Turlock, CA 95382</p> <p>Telephone: (209)</p> <p>Fax: (209)</p> <p>Email:</p> | <p>Principal Investigator</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p> |
| <p>Financial Contact</p> <p>Name: Trish Hendrix Post Award Manager</p> <p>Address: CSU Stanislaus One University Circle Turlock, CA 95382</p> <p>Telephone: (209) 667-3979</p> <p>Fax: (209) 667-3076</p> <p>Email: phendrix@csustan.edu</p> | <p>Financial Contact</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p> |
| <p>Authorized Official</p> <p>Name: Kimberly Greer Provost and VP Academic Affairs</p> <p>Address: CSU Stanislaus One University Circle Turlock, CA 95382</p> <p>Telephone: (209) 667-3493</p> <p>Fax: (209) 664-7048</p> <p>Email: kgreer@csustan.edu</p> | <p>Authorized Official</p> <p>Name:</p> <p>Address:</p> <p>Telephone:</p> <p>Fax:</p> <p>Email:</p> |